

## Non-Publicly Traded Market Participants (excluding Municipals, Cooperatives and Joint Action Agencies)

PJM will send an email on or about July 25, 2024 to all Non-Publicly Traded Market Participants seeking the following information with a deadline of September 9, 2024. The email will be sent to the designated Officers, Authorized Representatives and Maintenance Managers from each such entity. PJM is excluding municipalities, cooperatives and joint action agencies from this list and will include those entities along with Publicly Traded Market Participants.

### *Required Information*

- Review current Principals disclosed to PJM and update and/or add Principals as needed to be consistent with the proposed definition of “Principal” (as defined [HERE](#))
- This includes the addition of Beneficial Owners (as defined in the above linked definition of Principal), if any.
  - Identify percentage of ownership for each Beneficial Owner.
- This also includes the addition of members of a board of directors or board of managers (board members) for your entity, if any, as reflected in subpart (i) of the proposed definition linked above.
- Government Issued IDs (Driver’s License or Passport) – NOTE – this is not required for board members

## Publicly Traded Market Participants, Municipals, Cooperatives and Joint Action Agency Market Participants

PJM intends to collect the information for Enhanced KYC Review at the time of the Annual Recertification (opens in early January 2025) for Publicly Traded Market Participants and Municipals, Cooperatives and Joint Action Agency Market Participants. PJM will provide advanced notice of this through informational updates at the MC Webinar and RMC ahead of this time.

### *Required Information*

- Updates to your list of Principals that are currently disclosed to PJM and update them as needed to be consistent with the proposed definition of “Principal” (as defined [HERE](#))
- This includes the addition of Beneficial Owners (as defined in the above linked definition of Principal), if any.
  - Identify percentage of ownership for each Beneficial Owner.
- This also includes the addition of members of a board of directors or board of managers (board members) for your entity, if any, as reflected in subpart (i) of the proposed definition linked above.